

Docket No. 217911US0CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Satoshi NIIYAMA, et al.

SERIAL NO: 10/028,787

FILED: December 28, 2001

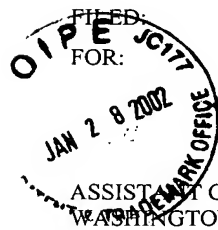
FOR: LIQUID CRYSTAL OPTICAL ELEMENT AND TEST METHOD FOR ITS BOUNDARY LAYER

GAU:

EXAMINER:

RECEIVED
FEB -7 2002

TC 2000 MAIL ROOM



INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618

Richard L. Treanor

Registration No. 36,379



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10/01)



RECEIVED
FEB - 7 2002
TC 2800 MAIL ROOM

LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent No.</u>	<u>Filing or Issue Date</u>	<u>Status or Patentee</u>
205040US0	09/813,988	03/22/01	Pending
207203US0	09/847,333	05/03/01	Pending

*Present application; listed for information.

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

217911US0CIP

SERIAL NO.

10/028,787


 LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Satoshi NIYAMA, et al.

FILING DATE

December 28, 2001

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					
	AL					
	AM					
	AN					

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO
	AO			
	AP			
	AQ			
	AR			
	AS			
	AT			
	AU			
	AV			

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

<i>Yms</i>	AW	Nissan Chemical Industries, Ltd., 2pp., "ALIGNING LAYER FOR FERROELECTRIC/ANTIFERROELECTRIC LIQUID CRYSTAL RN-1266 AND RN-1286" (w/English Translation)
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Thai V. Duong

Date Considered

8/21/2003

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.